

Fields	SCHEME SUMMARY DOCUMENT	
1	Fund Name	Helios Small Cap Fund
2	Option Names (Regular & Direct)	Regular Plan & Direct Plan Growth* Income Distribution cum Capital Withdrawal (IDCW) - Reinvestment of IDCW^ - Transfer of IDCW - Payout of IDCW * default option ^ default sub-option
3	Fund Type	An open-ended equity scheme investing in small cap stocks.
4	Riskometer (At the time of Launch)	Very High Risk
5	Riskometer (as on Date)	Very High Risk
6	Category as Per SEBI Categorization Circular	Small Cap Fund
7	Potential Risk Class (as on date)	Not Applicable
8	Description, Objective of the scheme	The investment objective of the scheme is to generate long-term capital appreciation from a portfolio that is invested predominantly in equity and equity related securities of small-cap companies. However, there is no assurance that the investment objective of the Scheme will be achieved.
9	Stated Asset Allocation	Equity & Equity related instruments of small cap companies - 65-100% Equity & Equity related instruments of other than small cap companies - 0-35% Debt Securities & Money Market instruments (including Cash & cash equivalents, TREPS) - 0-35% Units issued by REITs and INVITS - 0-10%
10	Face Value	Rs. 10/- per unit
11	NFO Open Date	06 Nov 2025
12	NFO Close date	20 Nov 2025
13	Allotment Date	27 Nov 2025
14	Reopen Date	28 Nov 2025
15	Maturity Date (For closed-end funds)	Not Applicable
16	Benchmark (Tier 1)	Nifty Small Cap 250 Total Return Index (TRI)
17	Benchmark (Tier 2)	Not Applicable
18	Fund Manager Name	FM1 - Mr. Alok Bahl; FM2 - Mr. Pratik Singh
19	Fund Manager Type (Primary/Comanage/Description)	FM1 - Primary; FM2 - Comanage
20	Fund Manager From Date	Mr. Alok Bahl (Since Inception); Mr. Pratik Singh (Since inception)
21	Annual Expense (Stated maximum)	Regular 2.21, Direct 0.67
22	Exit Load (if applicable)	(i) If units redeemed or switched out within 3 months from the date of allotment – 1% of the applicable NAV (ii) If redeemed/switched out after 3 months from the date of allotment – Nil
23	Custodian	SBI-SG Global Securities Services Private Limited
24	Auditor	S R Batliboi & Co LLP
25	Registrar	Computer Age Management Services Ltd.
26	RTA Code (To be phased out)	Helios Small Cap Fund - Direct Plan - Growth Option - HSCDG Helios Small Cap Fund - Direct Plan - IDCW Option (Reinvestment) - HMCDD Helios Small Cap Fund - Direct Plan - IDCW Option (Payout) - HMCDDP Helios Small Cap Fund - Regular Plan - Growth Option - HSCRG Helios Small Cap Fund - Regular Plan - IDCW Option (Reinvestment) - HSCDD Helios Small Cap Fund - Regular Plan - IDCW Option (Payout) - HSCDP
27	Listing Details	Not Applicable
28	ISINs	Helios Small Cap Fund - Direct Plan - Growth Option - INF0R8701384 Helios Small Cap Fund - Direct Plan - IDCW Option (Payout) - INF0R8701392 Helios Small Cap Fund - Direct Plan - IDCW Option (Reinvestment) - INF0R8701400 Helios Small Cap Fund - Regular Plan - Growth Option - INF0R8701350 Helios Small Cap Fund - Regular Plan - IDCW Option (Payout) - INF0R8701368 Helios Small Cap Fund - Regular Plan - IDCW Option (Reinvestment) - INF0R8701376
29	AMFI Codes (To be phased out)	Helios Mid Cap Fund - Direct Plan - Growth Option - 153912 Helios Mid Cap Fund - Direct Plan - IDCW Option - 153910 Helios Mid Cap Fund - Regular Plan - Growth Option - 153909 Helios Mid Cap Fund - Regular Plan - IDCW Option - 153911
30	SEBI Codes	HELI/O/E /SCF/25/07/0007
31	Minimum Application Amount	Rs. 5,000/-
32	Minimum Application Amount in multiples of Rs.	Re. 1/-
33	Minimum Additional Amount	Rs. 1,000/-
34	Minimum Additional Amount in multiples of Rs.	Re. 1/-
35	Minimum Redemption Amount in Rs.	Any
36	Minimum Redemption Amount in Units	Not Applicable
37	Minimum Balance Amount (if applicable)	Not Applicable
38	Minimum Balance Amount in Units (if applicable)	Not Applicable
39	Max Investment Amount	Not Applicable
40	Minimum Switch Amount (if applicable)	Rs. 5,000/-
41	Minimum Switch Units	Not Applicable
42	Switch Multiple Amount (if applicable)	Re. 1/-
43	Switch Multiple Units (if applicable)	Not Applicable
44	Max Switch Amount	Not Applicable
45	Max Switch Units (if applicable)	Not Applicable
46	Swing Pricing (if applicable)	Not Applicable
47	Side-pocketing (if applicable)	Not Applicable.
48	SIP SWP & STP Details: Frequency	SIP - Weekly, Fortnightly, Monthly, Quarterly, Default Frequency - Monthly ; STP - Daily, Weekly, Monthly, Quarterly ; SWP - Weekly, Monthly, Quarterly
49	SIP SWP & STP Details: Minimum amount	SIP - Rs. 1,000/- ; STP - Rs. 1,000/- ; SWP - Rs. 1,000/-
50	SIP SWP & STP Details: In multiple of	SIP - Re 1- ; STP - Re 1 ; SWP - Re 1
51	SIP SWP & STP Details: Minimum Instalments	SIP - Weekly, Fortnightly, Monthly - 12 nos., Quarterly - 6 nos. ; STP - All Frequencies - 6 nos. ; SWP - All Frequencies - 6 nos.
52	SIP SWP & STP Details: Dates	SIP - Weekly - Any day (Monday to Friday) (If no day is selected, Monday will be the default day), Fortnightly - 1st and 16th day of each month, as applicable (1st and 16th of the month will be the default date), Monthly - Any date (10th will be the default

## SCHEME SUMMARY DOCUMENT

Fields	SCHEME SUMMARY DOCUMENT	
		date), Quarterly - Any date (10th will be the default date) ; STP -Daily - (Every Business Day), Weekly - Any day (Monday to Friday) (If no day is selected, Monday will be the default day), Monthly - Any date (10th will be the default date), Quarterly - Any date (10th will be the default date) ; SWP - Weekly - Any day (Monday to Friday) (If no day is selected, Monday will be the default day), Monthly - Any date (10th will be the default date), Quarterly - Any date (10th will be the default date)
53	SIP SWP & STP Details: Maximum Amount (if any)	Not Applicable