

Mis-selling Reporting	
Particulars	Responses
1. Name of the Mutual Fund	HELIOS MUTUAL FUND
2. ARN of the MFD	NA
3. Name of the MFD	NA
4. Name of the employee of the MFD involved	NA
5. EUIN of the employee of the MFD involved	NA
6. Source of the complaint	NA
7. Nature of Mis-Selling observed by the AMC	NA
8. Summary of the incident	NA
9. Amount of investment Involved in the incident	NA
10. Corrective Action taken by the AMC prevent recurrence	NA
11. Code of Conduct Violation (other than mis-selling) if any	NA
12. Action taken by the AMC against the errant MFD	NA