



NOTICE CUM ADDENDUM No. 14/2025

NOTICE CUM ADDENDUM TO STATEMENT OF ADDITIONAL INFORMATION (SAI) OF HELIOS MUTUAL FUND (FUND)

Terms and conditions for transaction through electronic mode

Notice is hereby given that pursuant to AMFI Best Practices Guidelines Circular No.118 /2024-25 dated January 31, 2025, on ‘Acceptance of financial transactions through email in respect of non-individual investors’ (‘AMFI Guidelines’) read with AMFI email dated February 27, 2025, acceptance of financial transactions through email from non-individual investors shall be subject to fulfilment of certain additional requirements as stipulated under AMFI Guidelines. Accordingly, existing provisions pertaining to ‘Transactions through Facsimile/Electronic Mode’ in the SAI of the Fund, have been reviewed and updated as under, to align the same with AMFI Guidelines and current facilities offered by the Fund. Updated provisions shall be applicable with effect from May 01, 2025.

Provisions pertaining to ‘Transactions through Facsimile/Electronic Modes’ stand modified as under in the SAI of the Fund:

Existing Para	Revised Para
<p>32. Transactions through Facsimile/Electronic Mode:</p> <p>The AMC, Mutual Fund, Registrar, or any other agent or representative of the AMC, Mutual Fund, Registrar (“Recipient”) may accept certain transactions via facsimile or through any electronic mode (“fax / electronic transactions”), subject to the investor fulfilling certain terms and conditions as stipulated by the AMC from time to time.</p> <p>Acceptance of fax / electronic transactions as may be permitted by SEBI or other regulatory authorities from time to time and will be solely at the risk of the transmitter of the fax / electronic transaction (“Transmitter”) and the Recipient shall not in any way be liable or responsible for any loss, damage caused to the Transmitter directly or indirectly, as a result of the Transmitter sending or purporting to send such fax / electronic transactions including where a fax / electronic transaction sent / purported to be sent is not processed on account of the fact that it either received late or not received by the Recipient.</p> <p>The Transmitter acknowledges that fax / electronic transaction is not a secure means of giving instructions / transaction requests and that the Transmitter is aware of the risks involved including those arising out of such transmission being inaccurate, imperfect, ineffective, illegible, having a lack of quality or clarity, garbled, altered, distorted, not timely etc. and that the Transmitter’s request to the Recipient to act on any fax</p>	<p>32. Transactions through Electronic Mode:</p> <p>The AMC, Mutual Fund, Registrar, or any other agent or representative of the AMC, Mutual Fund, Registrar (“Recipient”) may accept certain transactions through any electronic mode (“electronic transactions”), subject to the investor fulfilling certain terms and conditions as stipulated by the AMC from time to time.</p> <p>Acceptance of electronic transactions as may be permitted by SEBI or other regulatory authorities from time to time and will be solely at the risk of the transmitter of the electronic transaction (“Transmitter”) and the Recipient shall not in any way be liable or responsible for any loss, damage caused to the Transmitter directly or indirectly, as a result of the Transmitter sending or purporting to send such electronic transactions including where an electronic transaction sent / purported to be sent is not processed on account of the fact that it either received late or not received by the Recipient.</p> <p>The Transmitter acknowledges that electronic transaction is not a secure means of giving instructions / transaction requests and that the Transmitter is aware of the risks involved including those arising out of such transmission being inaccurate, imperfect, ineffective, illegible, having a lack of quality or clarity, garbled, altered, distorted, not</p>

/ electronic transaction is for the Transmitter's convenience and the Recipient shall not be obliged or bound to act on the same. The Transmitter authorizes the Recipient to accept and act on any fax / electronic transaction which the Recipient believes in good faith to be given by the Transmitter, and the Recipient shall be entitled to treat any such fax / electronic transaction as if the same was given to the Recipient under the Transmitter's original signature. The Transmitter agrees that security procedures adopted by the Recipient may include signature verification, telephone callbacks or a combination of the same. Callbacks may be recorded by tape recording device and the Transmitter consents to such recording and agrees to co-operate with the Recipient to enable confirmation of such fax / electronic transaction requests. The Transmitter further accepts that the fax / electronic transaction shall not be considered until time stamped appropriately as a valid transaction request in the Scheme in line with the SEBI (Mutual Funds) Regulations, 1996. In consideration of the Recipient accepting and at its sole discretion (including but not limited to the AMC extending / discontinuing such facilities from time to time) acting on any fax / electronic transaction request received / purporting to be received from the Transmitter, the Transmitter agrees to indemnify and keep indemnified the AMC, Directors, employees, agents, representatives of the AMC, Mutual Fund and Trustees (indemnified parties) from and against all actions, claims, demands, liabilities, obligations, losses, damages, costs (including without limitation, interest and legal fees) and expenses of whatever nature (whether actual or contingent) directly or indirectly suffered or incurred sustained by or threatened against the indemnified parties whatsoever arising from or in connection with or any way relating to the indemnified parties in good faith accepting and acting on fax / electronic transaction requests including relying upon such fax / electronic transaction requests purporting to come from the Transmitter even though it may not come from the Transmitter.

The AMC reserves the right to discontinue the above-mentioned facilities at any point in time. Applications which are not complete in any respect are liable to be rejected.

In case of Commercial Transactions Received on Email:

The AMC declares its Designated E-mail server as one of the Official Points of Acceptance of transactions. The facility of carrying out commercial transactions through designated E-mail, in units of the Fund, is available, subject to the following terms and conditions:

timely etc. and that the Transmitter's request to the Recipient to act on any electronic transaction is for the Transmitter's convenience and the Recipient shall not be obliged or bound to act on the same. The Transmitter authorizes the Recipient to accept and act on any electronic transaction which the Recipient believes in good faith to be given by the Transmitter, and the Recipient shall be entitled to treat any such electronic transaction as if the same was given to the Recipient under the Transmitter's original signature. The Transmitter agrees that security procedures adopted by the Recipient may include signature verification, telephone callbacks or a combination of the same. Callbacks may be recorded by tape recording device and the Transmitter consents to such recording and agrees to co-operate with the Recipient to enable confirmation of such electronic transaction requests. The Transmitter further accepts that the electronic transaction shall not be considered until time stamped appropriately as a valid transaction request in the Scheme in line with the SEBI (Mutual Funds) Regulations, 1996. In consideration of the Recipient accepting and at its sole discretion (including but not limited to the AMC extending / discontinuing such facilities from time to time) acting on any electronic transaction request received / purporting to be received from the Transmitter, the Transmitter agrees to indemnify and keep indemnified the AMC, Directors, employees, agents, representatives of the AMC, Mutual Fund and Trustees (indemnified parties) from and against all actions, claims, demands, liabilities, obligations, losses, damages, costs (including without limitation, interest and legal fees) and expenses of whatever nature (whether actual or contingent) directly or indirectly suffered or incurred sustained by or threatened against the indemnified parties whatsoever arising from or in connection with or any way relating to the indemnified parties in good faith accepting and acting on electronic transaction requests including relying upon such electronic transaction requests purporting to come from the Transmitter even though it may not come from the Transmitter.

The AMC reserves the right to discontinue the above-mentioned facilities at any point in time. Applications which are not complete in any respect are liable to be rejected.

Commercial Transactions through Email:

The AMC declares its Designated E-mail ID as one of the Official Points of Acceptance of transactions. The facility of carrying out commercial transactions through designated E-mail, in units of the Fund, is available, subject to the following terms and conditions:

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| <ul style="list-style-type: none"> • Transaction requests can be sent to designated email id (transact@helioscapital.in). The AMC reserves the right to change/add the Designed email id(s) from time to time. • This facility is available for all open-ended schemes except Exchange Traded Funds. • Only Commercial transactions i.e. Purchase, Redemption and Switches shall be accepted through designated email. • The additional purchase/redemption/switch request shall be received from the registered email ID of the investor. In case such request is received from an unregistered email id, the AMC may, at its sole discretion, process the same after carrying out necessary validations/ due diligence or can even reject such transactions received from an unregistered email id. • Investor agrees that based on the scanned image of application for purchase, redemption or switches, the transaction will be processed subject to receipt of the subscription amount in case of purchase and subject to signature verification in case of purchase, redemption, and switches. The receipt of such scanned image by the AMC at designated email id shall be deemed sufficient for effecting the transaction. Investor further agrees to keep in its records, the original application and provide the original application. • All transaction requests will be deemed to be valid, where applications, transaction slips, forms, supporting documents are received at the designated email id. Documents received on emails shall only be accepted if they are in PDF or JPG format. The AMC may not acknowledge the receipt of the email requests. • This facility will be provided subject to provisions of cut off timing for applicability of NAV and time stamping requirements, as amended by Securities and Exchange Board of India (SEBI) from time to time and any other applicable laws, rules and regulations as may be enforced from time to time. For the purpose of determining the applicable NAV in accordance with SEBI (Mutual Funds) Regulations, 1996, the system generated date and time on the transmitted email received at server / system of the AMC and / or Its RTA and availability of funds for utilization for the same shall be considered. • The investor acknowledges that it is in the inherent nature of telecommunication services that transmissions may not be properly received and may be inadvertently read or may be erroneous or made known to unauthorised persons. Investor agrees that all the risks, errors or breaches shall be borne by the investor, and the Fund/AMC/Trustee shall not be responsible/liable for any claims, liability, loss, damage, cost, or expenses arising from such risks, errors, or breach of confidentiality. | <ul style="list-style-type: none"> • Transaction requests can be sent to designated email id (transact@helioscapital.in). The AMC reserves the right to change/add the Designed email id(s) from time to time. • This facility is available for all open-ended schemes except Exchange Traded Funds. • Only Commercial transactions i.e. Purchase, Redemption and Switches shall be accepted through designated email. • The additional purchase/redemption/switch request shall be received from the registered email ID of the investor. In case such request is received from an unregistered email id, the AMC may, at its sole discretion, process the same after carrying out necessary validations/ due diligence or can even reject such transactions received from an unregistered email id. Non-individual investors shall ensure submission of financial transactions via email in accordance with the requirements stipulated at the end of this section (refer to the heading 'Non-individual investors routing their financial transactions through email'). • Investor agrees that based on the scanned image of application for purchase, redemption or switches, the transaction will be processed subject to receipt of the subscription amount in case of purchase and subject to signature verification in case of purchase, redemption, and switches. The receipt of such scanned image by the AMC at designated email id shall be deemed sufficient for effecting the transaction. Investor further agrees to keep in its records, the original application and provide the original application. Acceptance of financial transactions from non-individual investors shall be in accordance with the requirements stipulated at the end of this section (refer to the heading 'Non-individual investors routing their financial transactions through email'). • All transaction requests will be deemed to be valid, where applications, transaction slips, forms, supporting documents are received at the designated email id. Documents received on emails shall only be accepted if they are in PDF or JPG format. The AMC may not necessarily acknowledge the receipt of the email requests. • This facility will be provided subject to provisions of cut off timing for applicability of NAV and time stamping requirements, as amended by Securities and Exchange Board of India (SEBI) from time to time and any other applicable laws, rules and regulations as may be enforced from time to time. For the purpose of determining the applicable NAV in accordance with |
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- At the request of the investor, the AMC is hereby requested and authorised, but is not obliged, to process the transactions as per email submissions received from time to time from investors and otherwise to rely upon and act in accordance with email submission which is signed, or is believed to have been signed by any person authorised by the documents governing the arrangement between the AMC and the Investor.
- It is further mutually agreed that if any other permission is required under the provisions of law for processing such requests / instructions, the investor shall be solely liable and responsible for any failure to comply with such provisions of laws, rules, and regulations. The investor will keep the Fund/AMC/Trustee fully absolved and indemnified with respect to any violation of such laws, rules and regulations and consequences thereafter in case of such violation mentioned hereinabove.
- It is agreed by the investors that the AMC need not confirm (whether orally, in writing or otherwise) any email submission or verify the identity of the person making or giving or purporting to make or give any email submission.
- Investor agrees that security procedures adopted by the AMC may include signature verification, telephonic call backs or a combination of the same, that may be recorded by tape recording device and investor consents to such recording and agrees to co-operate with the AMC to enable confirmation of such electronic transactions. However, the AMC shall be under no duty to prescribe or adopt any procedures for the purpose of such confirmations or verification and any such procedure prescribed or adopted by the AMC shall not impose upon the AMC any obligation to adopt or comply with the same in any or every instance.
- In case there is a variation between the documents received vide email as against the original/physical documents which will be received thereafter, the AMC reserves the right to process the transaction as per 41 Statement of Additional Information
- the documents received vide email and the pecuniary loss if any, due to any such variation shall be entirely borne by the Investor and the AMC shall under no circumstances be liable for such losses.
- Investors shall abide with such terms and conditions, as may be specified by the AMC from time to time. Investors must note that the AMC reserves the right to terminate this arrangement of receiving transactions through email at its own discretion without any prior notice or intimation to the Investors

SEBI (Mutual Funds) Regulations, 1996, the system generated date and time on the transmitted email received at server / system of the AMC and / or Its RTA and availability of funds for utilization for the same shall be considered.

- The investor acknowledges that it is in the inherent nature of electronic communication/services that transmissions of email may not be received or may not be properly received and may be inadvertently read or may be erroneous or made known to unauthorised persons. Investor agrees that all the risks, errors or breaches (including delayed or non-processing of transaction) shall be borne by the investor, and the Fund/AMC/Trustee/RTA shall not be responsible/liable for any claims, liability, loss, damage, cost, or expenses arising from such risks, errors, or breach of confidentiality.
- At the request of the investor, the AMC is hereby requested and authorised, but is not obliged, to accept, act and process the transactions as per email submissions received from time to time from investors (including a registered MF distributor/third party authorised by the investor to send a scanned copy of the transaction request on behalf of such investor) and otherwise to rely upon and act in accordance with email submission which is signed, or is believed to have been signed by any person authorised by the documents governing the arrangement between the AMC and the Investor.
- It is further mutually agreed that if any other permission is required under the provisions of law for processing such requests / instructions, the investor shall be solely liable and responsible for any failure to comply with such provisions of laws, rules, and regulations. The investor will keep the Fund/AMC/Trustee fully absolved and indemnified with respect to any violation of such laws, rules and regulations and consequences thereafter in case of such violation mentioned hereinabove.
- It is agreed by the investors that the AMC need not confirm (whether orally, in writing or otherwise) any email submission or verify the identity of the person making or giving or purporting to make or give any email submission.
- Investor agrees that security procedures adopted by the AMC may include signature verification, telephonic call backs or a combination of the same, that may be recorded by tape recording device and investor consents to such recording and agrees to co-operate with the AMC to enable confirmation of such electronic transactions. However, the AMC shall be under no duty to prescribe or adopt any procedures for

the purpose of such confirmations or verification and any such procedure prescribed or adopted by the AMC shall not impose upon the AMC any obligation to adopt or comply with the same in any or every instance.

- In case there is a variation between the documents received vide email as against the original/physical documents which will be received thereafter, the AMC reserves the right to process the transaction as per the documents received vide email and the pecuniary loss if any, due to any such variation shall be entirely borne by the Investor and the AMC shall under no circumstances be liable for such losses.
- Investors shall abide with such terms and conditions, as may be specified by the AMC from time to time. Investors must note that the AMC reserves the right to terminate this arrangement of receiving transactions through email at its own discretion without any prior notice or intimation to the Investors.
- Investors availing the facility for submitting financial transactions via email shall retain records of such transactions in line with the applicable laws and regulations.
- Investors shall take necessary safeguards / measures to ensure the security of email communications.
- **Non-individual investors routing their financial transactions through email:**

In accordance with the AMFI Best Practice Guidelines Circular No. 118 / 2024-25 dated January 31, 2025, acceptance of financial transactions through email from non-individual investors shall be subject to fulfilment of the following requirements, in addition to the aforesaid terms and conditions:

1. Such investors shall submit a copy of the board resolution or an authority letter on the entity's letter head, granting appropriate authority to the designated officials of the entity. The board resolution/authority letter should explicitly mention the following:
 - i. List of approved authorised officials who are authorised to transact on behalf of non-individual investors along with their specimen signature, designations and email IDs.
 - ii. An undertaking that the instructions for any financial transactions sent by email by the authorised officials shall be binding upon the entity as if it were a written agreement.
2. In case the document is executed electronically with a valid Digital Signature Certificate (DSC) or through

Aadhaar based e-signature by the authorised official/s, the same shall be considered as valid and acceptable, and shall be binding on the non-individual investor even if the transaction is not received from the registered email ID of the authorised official/s. However, in such cases, the domain name of the email ID should be from the same organisation's official domain name.

3. In addition to the acceptance of financial transactions via email, scanned copy of duly signed transaction form/request letter bearing wet signatures of the authorised signatories of the entity, received from some other official / employee of the non-individual investor may also be accepted, and shall be binding on the non-individual investor provided
 - i. The email ID is also copied to the registered email ID of the authorised official / signatory; and
 - ii. The domain name of email ID of the sender of the email is from the same organisation's official domain name.
4. No change in bank details or addition of bank account of the entity or any non-financial transactions shall be allowed via email. Such requests shall be submitted by the non-individual investor using the prescribed service request form duly signed by the entity's authorised signatory.
5. Any change in the registered email address / contact details of the entity shall be accepted only through a physical letter (including scanned copy thereof) with wet signature of the designated authorised official of the entity, duly supported by copy of the board resolution/authority letter on the entity's letter head.
6. Scanned copies of the signed transaction form/request letter bearing wet signature of the authorised signatories of the entity, received from the registered mutual fund distributor of the entity or a third party duly authorized by the non-individual unitholder may also be subject to fulfilment of the following requirements:
 - i. Authorisation letter from the non-individual unitholder authorising the MFD/person to send the scanned copies of signed transaction form/request letter on behalf of the non-individual investor.
 - ii. In such cases, the non-individual unitholder's registered email ID shall also be copied in the email sent by the MFD/person sending the scanned

	<p>copies of the duly signed transaction form/request letter.</p> <p>7. No change in /addition to the bank mandate shall be allowed via email. Change in bank details or addition of bank account of the entity shall be permitted only via the prescribed service request form duly signed by the entity's authorized signatories with wet signature of the designated authorized officials.</p> <p>8. Any change in the registered email address / contact details of the entity shall be accepted only through a physical letter (including scan copy thereof) with wet signature of the designated officials of the entity authorised to notify such changes, duly supported by copy of the board resolutions/authority letter on the entity's letter head.</p> <p>9. Further, in case the document is executed electronically with a valid DSC or through Aadhaar based e-signatures of the authorized official/s, shall be considered valid, and the same shall be binding on the non-individual investor even if the same is not received from the registered email id of authorized officials. However, the domain name of the email ID through which such email is received should be the same as the non-individual investor's official domain name.</p>
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This addendum shall form an integral part of the SAI. All other features, terms and conditions mentioned in the SAI remain unchanged

For Helios Capital Asset Management (India) Private Limited
(Investment Manager to Helios Mutual Fund)

Sd/-
Authorised Signatory

Date: April 30, 2025
Place: Mumbai

Mutual Fund investments are subject to market risks, read all scheme-related documents carefully.

Helios Capital Asset Management (India) Private Limited (Formerly Helios Capital Management (India) Private Limited).
Registered Office: 515 A, 5th Floor, The Capital, Plot C70, Bandra Kurla Complex, Bandra East, Mumbai - 400051, Maharashtra. **Corporate Office:** 502, B Wing, The Capital, Plot C70, Bandra Kurla Complex, Bandra (East), Mumbai - 400051, Maharashtra. **Contact:** 022-67319600, **Website:** www.heliosmf.in. **Corporate Identification Number (CIN):** U67190MH2021PTC360838