

Distributor/ RIA Code	Sub Agent ARN	Sub Agent Code/Bank Branch Code/Internal Code	Employee Unique Identification Number
ARN-			
EUIN Declaration: I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/ relationship manager/sales person of the distributor/-sub broker and the distributor has not charged any advisory fees on this transaction. RIA Declaration: I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all Schemes managed by you, to the above-mentioned SEBI-Registered Investment Adviser/RIA. Units will be allotted for the amount minus the transaction charges payable to distributor, if applicable.			
Signature of Sole/First Applicant/Guardian	Signature of Second Applicant	Signature of Third Applicant	

**EXISTING UNIT HOLDER'S INFORMATION**

**Folio No.**

**Name** Sole/First Applicant

**New Registration:** For enrollment under SWP facility
  **Cancellation:** For cancellation of SWP facility

**FLEX SYSTEMATIC TRANSFER PLAN (FLEX STP)**

**Scheme/Plan/Option/Sub Option**

**Flex STP into Scheme/Plan**

**Flex Transfer Amount** Fixed Sum of  Rs. (Minimum Rs.1000 for Monthly and Rs.3000 in case of Quarterly frequency in multiples of Rs.1)

**FLEX STP DATES: (Any Day)**  
**FLEX STP FREQUENCY:**  Monthly  Quarterly

**Flex Transfer Period** From   /     
To   /

(Period to cover - minimum 12 STP installments in case of Monthly frequency and 4 in case of Quarterly frequency)  
 Default options may be applied in case of no information, ambiguity or discrepancy

**DECLARATION**

I / We have read, understood the terms and conditions of the SID/KIM/SAI and the addendums issued thereto till date, as well as the rules and regulations of SEBI, AMFI, Prevention of Money Laundering Act, 2002, Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) under FATCA & CRS provision of the Central Board of Direct Taxes notified Rules 114 F to 114H, as part of the Income-tax Rules, 1962, and such other regulations as may be applicable to me/us from time to time and agree to comply with the same as a Unitholder. I /We hereby apply to the Trustees for allotment of Units of the Scheme(s) of Helios Mutual Fund ('Fund') and confirm and declare as follows: I/We am/are eligible Investor(s) as per the scheme related documents and not prohibited from accessing capital markets by any order/ruling /Judgment etc. passed by SEBI/Statutory Authority or Courts in India and Foreign laws. I/We am/are authorised to make this investment as per the Constitutive documents/ authorization(s). I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act / Regulations / Rules / Notifications / Directions or any other Applicable Laws enacted by the Government of India or any Statutory Authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby declare that the above information is given by the undersigned and the particulars given by me/us are correct and complete. I/We hereby authorize you to disclose, share, remit in any form/manner/mode the above information and/or any part of it including the changes/up- dates that may be provided by me/us to the Fund, its Sponsor/s, Trustees, Asset Management Company, its employees, agents and third party service providers, SEBI registered intermediaries for single updation / submission, any Indian or foreign statutory, regulatory, judicial, quasi-judicial authorities/agencies including but not limited to Financial Intelligence Unit-India (FIU-IND) etc without any intimation/advice to me/us. I/we hereby confirm that I/we have not been offered/ communicated any indicative portfolio and/or any indicative yield by the Fund/AMC/its distributor for this investment. If the balance in my/our account does not cover the amount/units of redemption request, I/we authorize you to send the entire such (lesser) balance to me/us.

Signature of Sole/First Applicant/Guardian	Signature of Second Applicant	Signature of Third Applicant
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To be signed by all applicants/Unitholders if mode of holding is "Joint".

ACKNOWLEDGEMENT	FLEX SYSTEMATIC TRANSFER PLAN	HAMC MUTUAL FUND
Received, subject to verification, request for Flex Systematic Transfer Plan		
<b>Folio No.:</b> <input style="width: 100px;" type="text"/>		
From: _____		
To: _____		
Flex STP Amount (₹): _____		

## Flex Systematic Transfer Plan ("Flex STP") – Terms and Conditions

The AMC has introduced Helios Flex Systematic Transfer Plan (Flex STP). Under this facility unit holder(s) can opt to transfer variable amount(s) linked to value of investments under Flex STP on the date of transfer at pre-determined intervals from designated source Scheme(s) [referred to as Transferor Scheme(s)] to the Growth option of designated target Scheme(s) [referred to as Transferee Scheme(s)].

### Salient features of the facility:

- Flex STP is available at Monthly and Quarterly Intervals.

Particulars	Frequency
Monthly and Quarterly Options	Any Date*

\*In case the date chosen for STP falls on a non-business day or on a day which is not available in a particular month, the STP will be processed on the immediate next business day.

- At the time of registration, the minimum amount under this facility is as follows:

Frequency	Minimum Amount of Transfer (Rs.)
Monthly	1,000/- and in multiples of Re.1
Quarterly	3,000/- and in multiples of Re.1

- There should be a minimum of 12 installments for enrollment under Monthly Flex STP and 4 installments for Quarterly Flex STP. The minimum balance in unit holder's account or minimum amount of application at the time of enrollment for Flex STP should be Rs. 12,000/-.
- Flex STP with Monthly and Quarterly Frequency shall commence if the application is submitted at least 7 business days prior to the applicable date.
- Under Flex STP, the amount sought to be transferred shall be calculated as follows:

Fixed Amount to be transferred per Installment or the amount as determined by the following formula [(fixed amount to be transferred per installment X by the number of installments including the current installment) - market value of the investments through Flex STP in the Transferee Scheme on the date of transfer] whichever is higher.

In case the amount (as calculated basis above) to be transferred is not available in the Transferor Scheme in the unit holder's account, the residual amount will be transferred to the Transferee Scheme.

Illustration: STP Amount Rs 3000 /- ,Frequency : Monthly and No of installment : 12

No. of Installment	NAV Applicable Date	STP Due Date	NAV per Unit	Market Value on installment date (Rs.)	Amount to be invested in Flex STP## (Rs.)	Cumulative Amount Invested in Flex STP) (Rs.)	Units Allotted under Flex STP	Cumulative Units
1	NA	01-10-2023	10	0	3,000	3000	300	300
2	01-11-2023	01-11-2023	12	3,600.00	3,000	6000	250	550
3	01-12-2023	01-12-2023	11	6,050.00	3,000	9000	272.73	822.73
4	01-01-2024	01-01-2024	9	7,404.55	4,595	13595.45	510.61	1333.33
5	01-02-2024	01-02-2024	7	9,333.33	5,667	19262.12	809.52	2142.86
6	01-03-2024	01-03-2024	8	17,142.86	3,000	22262.12	375	2517.86
7	01-04-2024	01-04-2024	10	25,178.57	3,000	25262.12	300	2817.86
8	01-05-2024	01-05-2024	12	33,814.29	3,000	28262.12	250	3067.86
9	01-06-2024	01-06-2024	13	39,882.14	3,000	31262.12	230.77	3298.63
10	01-07-2024	01-07-2024	14	46,180.77	3,000	34262.12	214.29	3512.91
11	01-08-2024	01-08-2024	15	52,693.68	1,738	36000	115.86	3628.77
12	NA	01-09-2024	13	47,174.02	STP will cease as target amount achieved			

- The first Flex STP installment will be processed on the basis of the fixed installment amount specified by the unit holder at the time of enrollment. Flex STP shall be applicable from the second installment onwards.
- The total Flex STP amount invested in the Transferee Scheme shall not exceed the total enrollment amount i.e., amount per installment X number of installments.
- The redemption / switch-out of units allotted in the Transferee Scheme shall be processed on First In First Out (FIFO) basis. In case there is a redemption / switch-out of any units allotted under Flex STP, the balance installments under Flex STP will be processed for the fixed installment amount specified by the unitholder at the time of enrollment.
- If the Flex STP Date and/or Frequency has not been indicated or multiple frequencies are selected, Monthly frequency shall be treated as Default frequency and last business day of the month shall be treated as Default Date.
- Flex STP shall be applicable subject to payment of exit load, if any, in the Transferor Schemes.
- In case of nil balance in the Transferor Scheme, Flex STP for that particular due date will not be processed. Flex STP will cease to be active upon five consecutive unsuccessful transactions or if all units are pledged or upon receipt of intimation of death of Unit holder.
- In order to discontinue the facility, a written request must be submitted at least 7 business days prior to the next applicable transfer date for Monthly/ Quarterly frequency.
- For availing this facility, investors are required to submit Helios Flex STP form duly complete in all respects.
- Only one registration (either Flex STP or Value STP) per target scheme in a folio would be allowed.

Trustees reserve the right to change/modify the terms and conditions or withdraw this facility.

The provision of "Minimum Redemption Amount" specified in the SID(s) of the respective Designated Source Schemes and "Minimum Application Amount" applicable to the Scheme as specified in this document will not be applicable for STP.

This facility will ensure that the Unit Holder is able to systematically invest into equity Schemes and balanced Scheme without having to give any post dated cheques. The Trustee reserves the right to change/modify the terms and conditions of Flex STP or withdraw the Flex STP at a later date. For the terms and conditions of Flex STP, contact the nearest ISC or visit our website [www.heliosmf.in](http://www.heliosmf.in)

All terms and conditions for SIP/STP, including Exit Load, if any, prevailing in the date of SIP/STP enrolment/ registration by the fund shall be levied in the Scheme.