

## DISCLOSURE OF SOFT-DOLLAR ARRANGEMENTS

As per Para no. 10.1.15 of Master Circular for Mutual Funds, AMCs are required to make disclosure with respect to “Soft Dollar Arrangements” with brokers.

Soft Dollar arrangements refers to an arrangement between AMCs and brokers in which the AMC executes trades through a particular broker and in turn the broker may provide benefits such as free research, hardware, software or even non-research-related services etc., to the AMC.

In this regard, it may be noted that Helios Capital Asset Management (India) Private Limited (‘Helios AMC’) does not have Soft Dollar Arrangements with any of its empanelled brokers. However, it may receive benefits like research report/ material, market data services, invitation to meeting with market experts/leaders, invitation to attend conferences etc.

Helios AMC is not liable or obliged to use the services of any broker for trade execution in lieu of the benefits provided by them.

The quality of research inputs and market analysis given by the brokers is the sole parameter being used by investment team for allocation of business among brokers. The research inputs provided by brokers are free of cost and are for the benefit of the investors. The business given to brokers and brokerage rates charged to the schemes are within the limits specified under SEBI (Mutual Funds) Regulations, 1996.